

7 • PROFESSIONAL CONDUCT OF POLICE OFFICERS

VOL. 7-100 PROFESSIONAL CONDUCT OF POLICE OFFICERS

PURPOSE

Law enforcement effectiveness depends upon community respect and confidence. Conduct, which detracts from this respect and confidence is detrimental to the public interest and should be prohibited. Our policy is to investigate circumstances that suggest an officer has engaged in unbecoming conduct, and to impose disciplinary action when appropriate.

POLICY

This section regulates the action or conduct of officers and employees of the University of Minnesota Duluth Police Department when that action or conduct is such as to cause embarrassment or distrust toward the University of Minnesota Duluth or to hold the UMDPD or any of its members up to ridicule or to cause the effectiveness or efficiency of the department to be questioned.

Police officers should always remember that their action or conduct, either on or off duty, are subject to constant scrutiny, and any behavior on the part of the individual employee that is open to criticism can bring disrepute to the entire department.

7-200 SCOPE

This policy applies to all UMDPD officers engaged in official duties, whether inside or outside of the territorial jurisdiction of this agency. Unless otherwise noted, this policy also applies to off-duty conduct as well. Conduct not mentioned under a specific rule, but which violates a general principle is prohibited.

This policy is organized into eight principles governing officer misconduct. Each principle is followed by the rationale explaining the principle and a set of rules.

7-201 Principle One

Peace officers shall conduct themselves, whether on- or off-duty, in accordance with the Constitution of the United States, the Minnesota Constitution, and all applicable laws, ordinances and rules enacted or established pursuant to legal authority.

Rationale

Peace officers conduct their duties pursuant to a grant of limited authority from the community. Therefore, officers must understand the laws defining the scope of their enforcement powers. Peace officers may only act in accordance with the powers granted to them.

Rules

1. Peace officers shall not knowingly exceed their authority in the enforcement of the law.
2. Peace officers shall not knowingly disobey the law or rules of criminal procedure in such areas as interrogation, arrest, detention, searches, seizures, use of informants, and preservation of evidence. Except where permitted in the performance of duty under proper authority.
3. Peace officers shall not knowingly restrict the freedom of individuals, whether by arrest or detention, in violation of the Constitutions and laws of the United States and the State of Minnesota.
4. Peace officers, whether on or off duty, shall not knowingly commit any criminal offense under any laws of the United States or any state or local jurisdiction in which the officer is present.
5. Peace officers will not, according to Minnesota State Statute 626.863, knowingly allow a person who is not a peace officer to make a representation of being a peace officer or perform any act, duty or responsibility reserved by law for a peace officer.

7-202 Principle Two

Peace officers shall refrain from any conduct in an official capacity that detracts from the public's faith in the integrity of the criminal justice system.

Rationale

Community cooperation with the police is a product of its trust that officers will act honestly and with impartiality. The peace officer, as the public's initial contact with the criminal justice system, must act in a manner that instills such trust.

Rules

1. Peace officers shall carry out their duties with integrity, fairness and impartiality.
2. Peace officers shall not knowingly make false accusations of any criminal, ordinance, traffic or other law violation. This provision shall not prohibit the use of deception during criminal investigations or interrogations as permitted under law.
3. Peace officers shall truthfully, completely and impartially report, testify and present evidence, including exculpatory evidence, in all matters of an official nature.
4. Peace officers shall take no action knowing it will violate the constitutional rights of any person.
5. Peace officers must obey lawful orders, but must refuse to obey any orders the officer knows would require the officer to commit an illegal act. If in doubt as to the clarity of an order, the officer shall, if feasible, request the issuing officer to clarify the order. An officer refusing to obey an order shall be required to justify his or her actions.
6. Peace officers learning of conduct or observing conduct which is in violation of any law or policy of this department shall take necessary action and report the incident to the officer's immediate supervisor, who shall forward the information to the Director/designee law enforcement officer. If the misconduct is committed by the officer's immediate supervisor, the officer shall report the incident to the immediate supervisor's supervisor.

7-203 Principle Three

Peace officers shall perform their duties and apply the law impartially and without prejudice or discrimination.

Rationale

Law enforcement effectiveness requires public trust and confidence. Diverse communities must have faith in the fairness and impartiality of their police. Peace officers must refrain from fostering disharmony in their communities based upon diversity, and perform their duties without regard to race, color, creed, religion, national origin, sex, marital status, status with regard to public assistance, disability, sexual orientation, or age.

Rules

1. Peace officers shall provide every person on our society with professional, effective, and efficient law enforcement services.
2. Peace officers shall not allow their law enforcement decisions to be influenced by race, color, creed, religion, national origin, sex, marital status, status with regard to public assistance, disability, sexual orientation or age.

7-204 Principle Four

Peace officers shall not, whether on- or off-duty, exhibit any conduct which discredits themselves or their department or otherwise impairs their ability or that of other officers or the department to provide law enforcement services to the community.

Rationale

A peace officer's ability to perform his or her duties is dependent upon the respect and confidence communities have for the officer and law enforcement officers in general. Peace officers must

conduct themselves in a manner consistent with the integrity and trustworthiness expected of them by the public.

Rules

1. Peace officers shall not consume alcoholic beverages or chemical substances, while on-duty except as permitted in the performance of official duties, and under no circumstances while in uniform.
2. Peace officers shall not consume alcoholic beverages to the extent the officer would be rendered unfit for the officer's next scheduled shift. A peace officer shall not report for work with the odor of an alcoholic beverage on the officer's breath.
3. Peace officers shall not use narcotics, hallucinogens, or other controlled substances except when legally prescribed. When medications are prescribed, the officer shall inquire of the prescribing physician whether the medication will impair the officer in the performance of the officer's duties. The officer shall immediately notify the officer's supervisor if a prescribed medication is likely to impair the officer's performance during the officer's next scheduled shift.
4. Peace officers, while on- or off-duty, shall not commit any act which, as defined under Minnesota law, constitutes sexual harassment, including but not limited to, making unwelcome sexual advances, requesting sexual favors, engaging in sexually motivated physical contact or other verbal or physical conduct or communication of a sexual nature.
5. Peace officers shall not commit any acts which constitute sexual assault or indecent exposure as defined under Minnesota law. Sexual assault does not include a frisk or other search done in accordance with proper police procedures.
6. Peace officers shall not commit any acts which, as defined under Minnesota law, constitute (1) domestic abuse, or (2) the violation of a court order restraining the officer from committing an act of domestic abuse or harassment, having contact with the petitioner, or excluding the peace officer from the petitioner's home or workplace.
7. Peace officers shall not, in the course of performing their duties, engage in any sexual contact or conduct constituting lewd behavior, including but not limited to, showering or receiving a massage in the nude, exposing themselves or otherwise making physical contact with the nude or partially nude body of any person, except as pursuant to a written policy of the department.
8. Peace officers shall avoid regular personal associations with persons who are known to engage in criminal activity where such associations will undermine the public trust and confidence in the officer or department. This rule does not prohibit those associations that are necessary to the performance of official duties, or where such associations are unavoidable because of the officer's personal or family relationships.

Professional Conduct
(Cont'd.)

7-205 Principle Five

Peace officers shall treat all members of the public courteously and with respect.

Rationale

Peace officers are the most visible form of local government. Therefore, peace officers must make a positive impression when interacting with the public and each other.

Rules

1. Peace officers shall exercise reasonable courtesy in their dealings with the public, fellow officers, superiors and subordinates.
2. No peace officer shall ridicule, mock, deride, taunt, belittle, willfully embarrass, humiliate, or shame any person to do anything reasonably calculated to incite a person to violence.
3. Peace officers shall promptly advise any inquiring citizen of the department's complaint procedure, and shall follow the established departmental policy for processing complaints.

7-206 Principle Six

Peace officers shall not compromise their integrity, or that of their department or profession, by accepting, giving or soliciting any gratuity which could be reasonably interpreted as capable of influencing their official acts or judgments, or by using their status as a peace officer for personal, commercial, or political gain.

Rationale

For a community to have faith in its peace officers, officers must avoid contact that does or could cast doubt upon the impartiality of the individual officer or the agency.

Rules

1. UMDPD officers shall not use their official position, identification cards or badges: a) for personal or financial gain, for themselves or another person; b) for obtaining privileges otherwise not available to them except in the performance of duty; and c) for avoiding consequences of unlawful or prohibited actions.
2. UMDPD officers shall not lend to another person their identification cards or badges or permit these items to be photographed or reproduced without approval of the Director/designee.
3. UMDPD officers shall refuse favors or gratuities, which could be reasonably interpreted as capable of influencing official acts or judgements.
4. Unless required for the performance of official duties, UMDPD officers shall not, while on duty, be present at establishments or businesses that have the primary purpose of providing sexually oriented adult entertainment. This rule does not prohibit officers from conducting walk-throughs of such establishments as part of their regularly assigned duties.
5. UMDPD officers shall:
 - not authorize the use of their names, photographs, or titles in a manner that identifies the officer as a UMDPD employee, in connection with advertisements for any product, commodity, or commercial enterprise;

- not make endorsements of political candidates while on duty, while wearing UMDPD's official uniform, while displaying a UMDPD badge or identification card, or while presenting self as a UMDPD officer.
- maintain a neutral position with regard to the merits of any labor dispute, political protest, or other public demonstration, while acting in an official capacity.

This section does not prohibit UMDPD officers from expressing their views on existing, proposed, or pending criminal justice legislation in their official capacity.

7-207 Principle Seven

UMDPD officers shall not compromise their integrity, or that of their agency or profession, by taking or attempting to influence actions when a conflict of interest exists.

Rationale

For the public to maintain its faith in the integrity and impartiality of peace officers and their agencies, UMDPD officers must avoid taking or influencing official actions where the officer's actions would or could conflict with the officer's appropriate responsibilities.

Rules

1. A UMDPD officer shall, unless required by law or policy, refrain from becoming involved in official matters, or influencing actions of other peace officers in official matters, impacting the officer's immediate family, relatives, or persons with whom the officer has or has had a significant personal relationship.
2. A UMDPD officer shall, unless required by law or policy, refrain from becoming involved in official matters, or influencing actions of other peace officers in official matters, impacting the officer's immediate family, relatives, or persons with whom the officer has or has had a business or employment relationship.
3. A UMDPD officer shall not use the authority of their position as a peace officer, or information available to them due to their status as a peace officer, for any purpose of personal gain including, but not limited to initiating or furthering personal and/or intimate interactions of any kind with persons with whom the officer has had contact while on duty.
4. UMDPD officers shall not engage in any off-duty employment if the position compromises or would reasonably tend to compromise the officer's ability to impartially perform the officer's official duties.

7-208 Principle Eight

UMDPD officers shall observe the confidentiality of information available to them due to their status as peace officers.

Rationale

Peace officers are entrusted with vast amounts of private, personal, and sensitive information, or access thereto. Peace officers must maintain the confidentiality of such information to protect the privacy of the subject/s of that information, and to maintain public faith in the officer's and agency's commitment to preserving such confidences.

Rules

1. UMDPD officers shall not knowingly violate any legal restriction for the release or dissemination of information.

2. UMDPD officers shall not, except in the course of official duties or as required by law, publicly disclose information likely to endanger or embarrass victims, witnesses, or complainants.
3. UMDPD officers shall not divulge the identity of persons giving confidential information except as required by law or departmental policy.

7-209 Application

Any disciplinary actions arising from violations of this policy shall be investigated in accordance with Minnesota Statutes, Section 626.89, Peace Officer Discipline Procedures Act, and the UMDPD policy on allegations of misconduct, as required by POST Board Rules, *MN Rules*, Chapters 6700.2000 to 6700.2600.